

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

IN RE: KEURIG GREEN MOUNTAIN SINGLE-SERVE COFFEE ANTITRUST LITIGATION

This Document Relates To:

Direct Purchaser Plaintiff Action

Case No. 1:14-md-2542

STIPULATION AND ~~PROPOSED~~ ORDER

It is hereby stipulated and agreed by and between the Direct Purchaser Plaintiffs (“DPPs”) and Defendant Keurig Green Mountain, Inc. (“Keurig” and, together with the DPPs, the “Parties”) in the above-captioned action, that:

1. Plaintiff David Rosenthal’s claims in the above-captioned action are dismissed pursuant to Rule 41(a)(1)(A)(ii) and/or Rule 21 of the Federal Rules of Civil Procedure.
2. The Direct Purchaser Plaintiffs’ Consolidated Amended Class Action Complaint (ECF No. 237) is hereby amended to strike: (a) the reference to David Rosenthal in the preamble on Page 1; and (b) Paragraph 33.
3. Keurig will not seek the deposition of Mr. Rosenthal and will destroy all copies of documents that Mr. Rosenthal produced in the course of discovery in the above-captioned action in accordance with the Stipulated Amended Protective Order (ECF No. 496) (the “Protective Order”).
4. The dismissal of Mr. Rosenthal shall be without prejudice to Mr. Rosenthal’s standing as an absent class member.
5. Pursuant to Federal Rule of Civil Procedure 15(a)(2), the Answer and Defenses of Defendant Keurig Green Mountain, Inc. to Consolidated Amended Class Action Complaint Filed by Direct Purchaser Plaintiffs (the “Keurig Answer”) (ECF No. 407) is hereby amended to add

Affirmative or Alternative Defense #16, which avers that “Plaintiff’s claims are barred, in whole or in part, by the *Noerr-Pennington* doctrine.”

6. DPPs’ stipulation and agreement that Keurig may amend the Keurig Answer to assert Affirmative or Alternative Defense #16 does not reflect any agreement or concession as to the validity of Affirmative or Alternative Defense #16 or any other part of the Keurig Answer. Keurig’s amendment does not reflect any agreement or concession on its part that *Noerr-Pennington* is an affirmative defense that must be plead in an answer or that Keurig bears the burden of proof on such a defense.

7. This Stipulation and all agreements contained herein are without prejudice and without costs to any Party.

Dated: New York, NY  
October 1, 2019

/s/ William Reiss (with permission)  
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*Counsel for Defendant Keurig Green  
Mountain, Inc., f/k/a Green Mountain Coffee  
Roasters, Inc. and as successor to Keurig,  
Incorporated*

SO ORDERED.

A handwritten signature in black ink, reading "Vernon Broderick". The signature is written in a cursive style with a large, stylized "V" and "B".

Vernon S. Broderick 10/6/2019  
United States District Judge